



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/682,209	08/06/2001	John Spiers	46286-01006

CONFIRMATION NO. 5249

25928
CHRISTOPHER J. KULISH
1700 LINCOLN STREET
SUITE 4100
DENVER, CO 80203

FORMALITIES LETTER



OC000000006448258

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

~~09/26/2001 EABUBAK1 00000012 09682209~~

FILED UNDER 37 CFR 1.53(b)

01 FC:201 353.00 OP
02 FC:202 40.00 OP
03 FC:203 18.00 OP
04 FC:205 65.00 OP
05 FC:204 135.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$193.
 - \$18 for 2 total claims over 20.
 - \$40 for 1 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 613.

OP
353.00
40.00
18.00
65.00
135.00

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2001 EABUBAK1 00000012 09682209

01 FC:201
02 FC:202
03 FC:203
04 FC:205
05 FC:204



RECEIPT
#9

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SPIERS et al.

Serial No.: 09/682,209

Filed: August 6, 2001

Atty. File No.: 46286-01006

For: "BLOCK DATA STORAGE WITHIN
A COMPUTER NETWORK"

Office of Initial Patent Examination's
Customer Service Center
United States Patent and Trademark Office
Washington, D.C. 20231

) Group Art Unit: 2185

) Examiner: not yet assigned

) REQUEST FOR CORRECTION OF
) FILING RECEIPT

<p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO UNITED STATES PATENT AND TRADEMARK OFFICE, WASHINGTON, DC 20231 ON <u>Sept. 21</u>, 2001.</p> <p style="text-align: center;">HOLME ROBERTS & OWEN LLP</p> <p>BY: <u>[Signature]</u></p>

Dear Sir:

The official Filing Receipt for the above-identified patent application contains the following error:

The name of co-applicant, Donald Kehn, is shown on the Filing Receipt as "Doanald Kehn".

Please correct the Filing Receipt so that the name of the co-applicant appears as "Donald Kehn".

For your reference, a copy of the Filing Receipt is enclosed, with the corrections indicated in red.

Please issue a corrected Filing Receipt for this patent application.

Respectfully submitted,

HOLME ROBERTS & OWEN LLP

By: [Signature]
Christopher J. Kulish, Esq.
Registration No. 33,056
1700 Lincoln Street, Suite 4100
Denver, Colorado 80203-4541
(303) 861-7000

Date: September 21, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/682,209	08/06/2001	2185	0.00	46286-01006	11	20	4

RECEIVED

CONFIRMATION NO. 5249

25928
CHRISTOPHER J. KULISH
1700 LINCOLN STREET
SUITE 4100
DENVER, CO 80203

AUG 23 2001

HOLME ROBERTS & OWEN LLP

FILING RECEIPT



OC00000006448257

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

John Spiers, Louisville, CO;
Kelly Long, Boulder, CO;
Sebastian Sobolewski, Longmont, CO;
~~Donald~~ Kehn, Boulder, CO;
Donald

Domestic Priority data as claimed by applicant**Foreign Applications****If Required, Foreign Filing License Granted 08/20/2001****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**** SMALL ENTITY ******Title**

Block data storage within a computer network

Preliminary Class

711

Data entry by : ZEWDIE, BROUKTAWIT

Team : OIPE

Date: 08/20/2001

[REDACTED]

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SPIERS et al.

Serial No.: 09/682,209

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For: "BLOCK DATA STORAGE
WITHIN A COMPUTER
NETWORK"

Box MISSING PARTS
Commissioner For Patents
Washington, D.C. 20231

) Group Art Unit: 2185
)
) Examiner: not yet assigned
)
) SUBMISSION OF MISSING PARTS
)
)

<p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON <u>Sept. 21</u>, 2001.</p> <p style="text-align: center;">HOLME ROBERTS & OWEN LLP</p> <p>BY: <u>[Signature]</u></p>
--

Dear Sir:

In response to the Notice to File Missing Parts dated August 20, 2001, in connection with the above-identified application, enclosed herewith please find a Declaration and a check for \$613.00. Please credit any overpayment or debit any underpayment to Deposit Account No. 08-2665.

A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

HOLME ROBERTS & OWEN LLP

By: [Signature]
Christopher J. Kulish, Esq.
Registration No. 33,056
1700 Lincoln Street, Suite 4100
Denver, Colorado 80203-4541
(303) 861-7000

Date: September 21, 2001